



SIES

RISE WITH EDUCATION

College of
Commerce
and Economics

UNDERTAKING

I hereby undertake to comply with all the provisions of UGC guidelines/regulations 2018 for autonomous colleges in letter and spirit and also undertake to upload the same on the college website.

Date : 20.12.2020

Nachoudhury

Dr.Nina Roy Choudhury
Principal

SIES COLLEGE OF COMMERCE AND ECONOMICS

T. V. Chidambaran Marg, Sion (East), Mumbai-400 022.
• Tel: 2401 5463 / 2407 4944 / 2402 6130 • Fax: 2408 3944
• E-mail: siesce@sies.edu.in • www.siesce.net



सत्यमेव जयते

विश्वविद्यालय अनुदान आयोग
University Grants Commission
मानव संसाधन विकास मंत्रालय, भारत सरकार
(Ministry of Human Resource Development,
Govt. of India)
बहादुरशाह जफर मार्ग नई दिल्ली-110 002
Bahadur Shah Zafar Marg, New Delhi-110 002



ज्ञान-विज्ञान विमुक्तये

Dr. Vikas Gupta
Joint Secretary

No.F. 22-1/2017(AC)

The Registrar,
University of Mumbai
M.G. Road
Fort, Mumbai-400 032

SPEED POST

S.I.E.S. COLLEGE OF COMMERCE & ECONOMICS SION (EAST), MUMBAI - 400 022.	
Inward No.	3
Date	22.07.2020

July, 2020

15: JUL 2020

Sub:- Conferment of Autonomous Status to SIES College of Commerce & Economics, Plot No. 71/72, Sion Matunga Estate, T.V. Chidambaran Marg, Sion (East), Mumbai-400 022 affiliated to University of Mumbai, Mumbai

Sir/Madam,

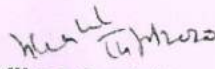
This is with reference to the proposal submitted by SIES College of Commerce & Economics, Plot No. 71/72, Sion Matunga Estate, T.V. Chidambaran Marg, Sion (East), Mumbai-400 022 affiliated to University of Mumbai, Mumbai under the UGC Scheme for Autonomous Colleges.

On the decision(s) of the Standing Committee constituted by University Grants Commission, in its meeting(s) held on 19.06.2020 and 26.06.2020, the Competent Authority has decided to grant autonomous status to **SIES College of Commerce & Economics, Plot No. 71/72, Sion Matunga Estate, T.V. Chidambaran Marg, Sion (East), Mumbai-400 022** affiliated to **University of Mumbai, Mumbai** for a period of Ten (10) years from the session 2020-2021 to 2029-2030 as per provisions of Clause 3.13 and Clause 6.4 (i) of UGC Regulations dated 12.02.2018.

The University is requested to issue necessary notification/order regarding the grant of autonomous status to the college as per UGC (Conferment of Autonomous Status Upon Colleges and Measures for Maintenance of Standards in Autonomous Colleges) Regulations, 2018 for Autonomous Colleges. The college, if eligible, shall apply for autonomy grant as per the norms laid down in the Regulations.

The autonomous college is required to abide by all the provisions of the UGC Regulations for Autonomous Colleges. The Regulations are available on the UGC website, www.ugc.ac.in. Non compliance of the requirements and conditions in the said Regulations shall attract action as per Clause -14 of the UGC Regulations - 2018. The college shall also apply in the prescribed format to University Grants Commission for extension of autonomous status six months prior to the expiry of the autonomous cycle.

Yours faithfully,


(Vikas Gupta)

Cont..

: 2 :

Copy to:-

1. The Principal Secretary
Tech Higher Education Deptt.
Govt. of Maharashtra,
Mantralaya Annexe Building,
Mumbai-400 032

2. The Joint Secretary,
University Grants Commission,
Western Regional Office,
Ganeshkhind, Pune – 411007

3/ The Principal,
SIES College of Commerce & Economics,
Plot No. 71/72, Sion Matunga Estate, T.V. Chidambaran Marg,
Sion (East), Mumbai-400 022

(i) The College is advised to submit the compliance report for the suggestions made by the Expert Committee in its report within three months failing which the Commission shall review its decision for conferment of Autonomy. A copy of the UGC Expert Committee report is enclosed herewith.

(ii) The College is advised to maintain the NAAC grading and intimate the UGC about the award of NAAC certificate for the period beyond April, 2020.

(iii) The Colleges may kindly submit the report in support of having a fully functional Examination Cell & System and constitution of Statutory Bodies as required under Clause 13 of UGC (Conferment of Autonomous Status Upon Colleges and Measures for Maintenance of Standards in Autonomous Colleges) Regulations, 2018 to ensure proper management of academic, financial and general administrative affairs. Non compliance of above requirements shall attract action as per Clause -14 of the UGC Regulations – 2018.

4 Meeting Cell

5 Concerned file

6 Guard file

Vikas Gupta
14/07/2020
(Vikas Gupta)

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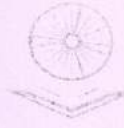
**REPORT OF THE UGC PEER TEAM
TO EVALUATE THE PERFORMANCE AND ACADEMIC ATTAINMENTS FOR
FRESH AUTONOMOUS STATUS TO**

SIES COLLEGE OF COMMERCE AND ECONOMICS

**AFFILIATED TO
UNIVERSITY OF MUMBAI**

**DATE OF VISIT
14.02.2020 & 15.02.2020**

**UNIVERSITY GRANTS
COMMISSION
BAHADURSHAH ZAFAR
MARG NEW DELHI-110002**



University Grants Commission
Bahadurshah Zafar Marg
New Delhi – 110 002

REPORT OF THE UGC PEER TEAM FOR FRESH AUTONOMOUS STATUS TO
SIES COLLEGE OF COMMERCE AND ECONOMICS

DATE OF VISIT TO THE COLLEGE :
2020

14th & 15th February

Name and Address of the College with pin code, phone no., fax, e-mail	Date of the Visit	Venue	Purpose of the visit
SIES COLLEGE OF COMMERCE & ECONOMICS, PLOT NO 71/72, T V CHIDAMBARAM MARG, SION (EAST), MUMBAI 400 022 022-24074944 siesce@sies.edu.in	14 th & 15 th February, 2020	SIES COLLEGE OF COMMERCE & ECONOMICS, PLOT NO 71/72, T V CHIDAMBARAM MARG, SION (EAST), MUMBAI 400 022	To consider Autonomous Status
Name of the affiliating University to which the College concerned is Affiliated to		UNIVERSITY OF MUMBAI	

1. Name of the Expert Visiting Committee Members and UGC Officer(s)
Present:-

S.No	Name and address	Designation	Phone/e-mail
1	Prof. Pratapsinh Chauhan Vice-Chancellor Shri Govind Guru University, Godhra – 389 001, Gujarat	Chairperson	09099939409 prof.pratapsinh@gmail.com
2	Prof. Kavita Sharma Former, Head Department of Commerce, Delhi School of Economics Univeristy of Delhi, Delhi – 110 007	Member	09868214616 ksharma.dse@gmail.com

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3	Prof. K.B. Sharma Principal S.S. Jain Subodh PG College (Autonomous & College with Potential for Excellence) Rambagh Circle, Jaipur – 302 004, Rajasthan	Member	0141-2565989 & 2569850 (O) 9829016598 (M) admin@subodhpgecolle ge.com
4	Dr. Yuvraj Malghe Joint Director of Higher Education, Mumbai Region,	Nominee of State Government	ymalghe@yahoo.com 022 - 22656600 / 22691528 (O) 9869606361 (M)
5	Prof. Rashmi Oza Professor Department of Law, University of Mumbai, Fort, Mumbai - 400032	Nominee of Affiliating University	rashmioza2004@yaho o.com 9820353562
6	Ms. Kulvinder Kaur Deputy Secretary University Grants Commission, Bahadur Shah Zafar Marg, New Delhi – 110002	Coordinating Officer	9911169444 kulvinderkaur279@gm ail.com

II. Background of the College with Vision, Mission and Objectives

The college that began as a single faculty college with 400 students and 7 lecturers, now offers under graduate and post graduate courses in Commerce, IT, Management, Banking and Insurance, Accounting and Finance to over 2,700 students supported by over 50 full-time and visiting faculty members. The Junior College with over 1,000 students and 18 teachers give the college the required strength to provide a complete package of quality higher education.

Vision

- To create socially committed and responsible citizens with high aptitude and a sense of equity.
- To use education as a tool of empowerment.
- To provide community stewardship through collaborative efforts with stakeholders.

Mission

- To produce professionals and leaders who can be torch bearers of the new world
- To impart value education
- To nurture talent, innovation and entrepreneurship

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a.	Arts / Science / Commerce	Commerce and Economics
b.	Engineering	-
c.	Education	-
d.	Others (Law, Physical Education, etc.)	-
e.	Multi-faculty (give details)	B.Com, B.Com (FM), B.Com (A&F), B.Com (B&I), B.Sc.IT, BMS, M.Sc.IT, M.Com(B&F) M.Com(A/C) Ph.D. in Commerce in Business Policy and Administration

IV. Infrastructure facilities

1.	Total land available (in acres) (copy of land documents to be placed as annexure)	Total land in acres - 0.70 acres Appendix - IV (Xerox of land documents)
2.	Whether land is registered in the name of the College?	Yes, Land is registered in the name of the The South Indian Education Society
3.	Class Rooms (No. of class rooms and covered area in sq. Ft.)	22 class rooms - Details as per Annexure - I
4.	Laboratories (No. of labs rooms and covered area in sq. Ft.) (list of labs alongwith equipments to be placed as annexure)	Annexure - II Four computer labs, covered area 1523.07 sq.ft. Annexure - III
5.	Central Library (i) Total Area (ii) Whether reading room available? (iii) Details about books, titles, Journals (National/International), CDs, e- resources, e-journals, Theses, etc.)	2521.01 Sq.ft. Yes Annexure enclosed - IV Books : 40181, Journals :30 E Books , E Journals : N LIST & J - STORE DATABASE
6.	Whether Departmental Libraries available? If yes, please give details.	No
7.	Hostels (No. of rooms with students accommodated) (i) Men (ii) Women	No
8.	Information about (i) Administrative Block (ii) Principal Office (iii) Staff Room (iii) Common Room (xv) Canteen	Yes - 49.05 sqm Yes - 21.73 sqm Yes - 99.68 sqm No - Yes - 15.33 sqm

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III. Profile of the College

1.	Name of the Principal (phone, fax, e-mail)		Dr. Nina Roy Choudhury Phone – 022 – 2402 6130 e- mail – siesce@sies.edu.in ninar@sies.edu.in <i>09869080886</i>								
2.	a.	Year in Which the College was Started (supporting documents to be placed as Annexure regarding establishment of College)	1989 Appendix - I								
	b.	Date when the College was declared fit under section 2(f) and 12 (B) of UGC Act 1956 (copy of UGC Letter to be placed as Annexure)	6 th July, 2005 Appendix - II								
3.	Period of autonomous status applied for		Ten Years								
4.	Status of Accreditation (supporting documents to be placed as Annexure)		Appendix - III								
	a.	Whether accredited by NAAC? If yes the period of accreditation and grade awarded	Yes Period of accreditation : 5 years Valid till 30 th April 2020 Grade A (3.02)								
	b.	Whether the courses are accredited by NBA? If yes, give details <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>S.No.</th> <th>Course</th> <th>Period of accreditation</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	S.No.	Course	Period of accreditation						
S.No.	Course	Period of accreditation									
5.	Category under which the College falls as per UGC guidelines										
	a.	Men	-								
	b.	Women	-								
	c.	Co- Education	Co - Education								
	d.	UG/PG/Both/	Both								
	e.	Single Faculty/ Multi faculty	Multi faculty								
	f.	Whether Government/ Self Financing / Aided/partially aided In case of aided/partially aided, purpose and % of grants received from the Government	B.Com - Aided – 100% salary grant Self-financing courses - Unaided								
6.	Type of College										

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	(iv) Auditorium (v) Internet facilities (xviii) Medical facilities (vi) Transport (vii) Other	Yes - 95.56 sqm Yes - All Computers Yes - Doctor on call No
9.	Sports Facilities in the College Camp (indoor/outdoor), Play grounds, Fitness equipment, sports coaches, etc.	Yes <ul style="list-style-type: none"> Indoor Facilities Sports coaches appointed for popular games Sports grounds hired on regular basis. Grounds next to the college used for practice.
10.	Total Buildings (covered area in sq. Ft.)	One (18627.62 sq.ft)
11.	Whether College website is available and updated regularly?	Yes. www.siesce.edu.in

V. Courses

1.	Courses offered (including diploma/certificate)			B.Com. BMS BFM BBI BAF BSc.IT MSc.IT, M.Com(B&F) M.Com(A/C) Ph.D. Certificate courses in : Tally GST Self-Defence Employability Skills Training Annexure - V
	S.No.	Course	Intake	
2.	Whether approval of the University and concerned Statutory Council(s) obtained for starting courses? If yes, please provide approval letter.			Yes Approval received. Annexure VI (A - I)
3.	Whether self-financing courses started by the College? If yes, list of the courses			BMS BFM BBI BAF

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		BSc.IT MSc.IT, M.Com(B&F) M.Com(A/C) Ph.D.
4.	Whether the Committee is satisfied about the courses offered by the College, especially self- financing courses?	Yes

VI. Faculty strength

1.	No. of teachers available (Professor, Associate Professor, Assistant Professor, others)	Annexure - VII A & VII B																																																																																		
	<ul style="list-style-type: none"> i. Regular ii. Ad-hoc iii. Contractual iv. Guest faculty v. Visiting faculty <p>Separate department-wise list of the faculty for the above categories to be provided with name, designation, qualification, pay, total experience, no. of years in the college, no. of research publication to be provided as Annexure.</p>																																																																																			
2.	Sanctioned, working strength and vacant position (faculty)																																																																																			
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Others	-	-	0	0	-	-	6+7*	13	-	-	-	-	6																																																																							
Total	-		36	36	-	5	42	42	-	-	7	7	42																																																																							
	* 7 Assistant Professors appointed by Management against the Vacant Posts in Aided Section																																																																																			
3.	Qualification of Faculty	Annexure VII A																																																																																		

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Category	No. of Ph.D	No. of M.Phil	Other	Total
Aided	4	2	5	11
Non-Aided	1	2	15	18
Other	-	-	13	13
Total	5	4	33	42

4. Non-teaching staff	Annexure VIII A & VIII B
(i) Sanctioned (ii) Working (iii) Vacant List of the non-teaching staff to be provided as annexure indicating name, designation, qualification, pay	

VII. Research Profile

1. No. of Research Publications during the last five years (List to be placed as Annexure)	Annexure IX A
2. Research Projects undertaken during the last five years (List to be placed as Annexure including name of the teacher, title of the project, funding agency, etc.)	Annexure IX B
3. Seminars/Conferences/Workshop/ symposia organized during the last five years (List to be placed as Annexure- separate for National/International)	Annexure IX C
4. Seminars/Conferences/Workshop/ symposia attended during the last five years (List to be placed as Annexure – separate for National/International)	Annexure IX D
5. Other research and consultancy related activities	Annexure IX E
VII 1. Admission Policy & Process	Annexure X

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15.11.2020

	<ul style="list-style-type: none"> University Academic Audit Infrastructure Augmentation Initiation of proposed Board of Studies Proposed Examination pattern Capacity enhancement of staff & Students <p>Annexure XVII</p>
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XV. Interaction with Stakeholders

1.	Interaction with faculty	Yes
2.	Whether all the teachers are in favour of extension of autonomy to the College?	Faculty is willing for granting autonomy to the college
3.	Interaction with Students	The interaction with the students of the college is very fruitful. The students are willing for autonomy.
4.	Interaction with Non-teaching staff	The interaction with non-teaching staff is very conversant. They are happy for the start of autonomy as the development of the college.

XVI. Utilization of UGC funds

a. Specific comments be given (Details of grant, if any received by the college for the last five years separately)

SR. No.	Scheme	Amount allocated by UGC	Grant sanctioned	Amount utilised
1.	Gen. Development Assistance during X Plan period	11,66,464	11,66,464	11,69,916
2.	Gen. Development Assistance during XI Plan period	14,00,000	14,86,464	15,05,640
3.	14 merged schemes during XI Plan period	31,10,000	20,39,000	21,07,457
4.	College for Potential for Excellence (CPE)	-	-	-
5.	Jubilee Grant	-	-	-
6.	Any other scheme IQAC	3,00,000	3,00,000	3,07,346
	General Development Grant XII	43,52,150	29,53,376	30,04,903

b. Whether X & XI Plan accounts of the college have already been settled.
Copies of the UGC letters may be enclosed as

Annexure XV

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- c. Income-expenditure statement during the last five years. Copy of the audited Statement of Expenditure of the College during the last five years to be placed as **Annexure XVI**

XVII. Observations of the Committee

1. The SIES College of Commerce and Economics was established in the city of Mumbai in the year 1989-90 with 0.70 acre of land.
2. The college is re-accredited 3rd cycle by 'A' grade in the year 2015 with 3.02 CGPA. This reaccreditation expires on 30th April, 2020.
3. The college has only one aided under-graduate programme -B.Com, and rest of 9 academic programmes are under self-financing courses. Total student strength of the college in current academic session is 2766.
4. The management of SIES College of Commerce and Economics is very progressive and very keen to develop the college by providing financial as well as all other ethical support.
5. The faculty of the college is young and enthusiastic and eager to work with whole dedication for upliftment of the college.
6. The admission procedure is as per the rules of University of Mumbai on the basis of merit.
7. The college provides the medical facility to staff specially the 6 months maternity leave for females. Even the college provides the child care leave facility to staff as per norms.
8. The college provides group insurance of Rs 1 lakh to staff.
9. The college IQAC organizes FDP's and workshops to promote research programmes.
10. The existing infrastructure with limited space in Mumbai Metropolitan city merely fulfills the needs of the college, particularly for sports and extracurricular activities.
11. The college has only four computer labs with approximately 120 computers for 2766 students.
12. The college has not developed the examination cell as per the autonomy requirements.
13. Number of faculty with Ph.D. qualification is less.

XVIII. Suggestions of the Committee

1. The academic maturity, preparedness and understanding of intricacies of autonomy are required to be built up among its staff by the college.
2. The college should hold rigorous training programmes for all teaching staff to understand the basis characteristics and responsibilities of an autonomous status.
3. The college should prepare a clear road map for executing autonomy such as method of conducting examination, assessment procedure and result preparation.
4. The college should encourage its faculty members to pursue Ph.D. degree.
5. There is non-availability of medical room or even first aid facility, and so proper first aid room equipped with required facilities should be established in the college.
6. Girls / Boys common room should be arranged for holistic development of

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students.

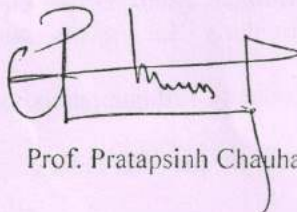
7. More research journals should be subscribed.
8. The college should put efforts to improve the placements of students.
9. The College should establish the proper examination cell and secrecy room as well as strong room for proper implementation of autonomy in the college before the conferment of autonomy to the college.
10. The college must go for NAAC reaccreditation before the expiry of validity of NAAC certificate.
11. The number of computers needs to be increased especially in light of two IT courses offered by the college.
12. The management and administration of the college should make the sincere efforts to purchase more land in the vicinity of the college for fulfillment of the space constraints.

XIX. Recommendations of the Committee

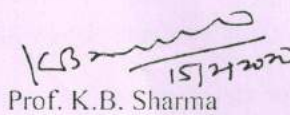
The expert committee visited SIES College of Commerce and Economics, Sion (East) Mumbai and examined the infrastructure, interacted with the Management, faculty, students and non-teaching staff.

Based on the observations, the committee recommends that the College may be granted the autonomous status for the period of 10 years from the session 2020-2021 to 2029-2030 subject to the condition to establish the proper examination cell with all safety measures and protocols before the conferment of autonomy to the college.

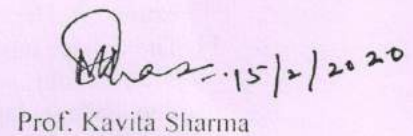
Place: Mumbai
Date: 15-2-2020



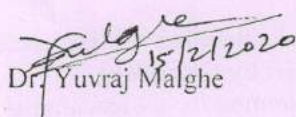
Prof. Pratapsinh Chauhan



Prof. K.B. Sharma



Prof. Kavita Sharma



Dr. Yuvraj Malghe



Prof. Rashmi Oza



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